

10/564456
IAP20 RECEIVED 12 JAN 2006

PATENT
(Docket No. PAT 00386)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Susanne PIONTEK
Jan BERG
Michael MAUß
Christopher HILGER
Günther ETZRODT
Norbert SCHNEIDE

Serial No.: This application is a National Phase
Application based on PCT/EP
2004/007454, filed 8 July 2004,
which claims priority to DE 103 34
308.3, filed 28 July 2003.

Filed: herewith

For: **SOLID PIGMENT PREPARATIONS
AND THE DISPERSIONS THEREOF IN
ORGANIC SOLVENTS, METHOD FOR
THE PRODUCTION THEREOF, AND
USE OF THE SAME**

Group Art Unit: Unknown

Examiner: Unknown

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January 12, 2006

Date


Marjorie Ellis

**Mail Stop PCT
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PO Box 1450
Alexandria, VA 22313-1450**

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<input checked="" type="checkbox"/>	From PTO-1449
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<input checked="" type="checkbox"/>	English Text of the International Search Report

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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

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Information Disclosure Statement
Page 2

- ☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [____], filed on [____]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:
- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [____] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

FOREIGN LANGUAGE DOCUMENTS

- ☐ There are no foreign language documents listed on Form PTO-1449.
- ☒ The following non-English language references were cited in the specification and/or ISR. The English language equivalents/translations for these references are being submitted in substitution for and/or in addition to the non-English references.

Cited Art	Equivalent
WO2002/42384	2004/0059050
DE10057164	2004/0106703

- ☒ English language abstract for the following non-English references are enclosed.
WO02/088261
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☒ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
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CERTIFICATION:

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:

10/564456

Information Disclosure Statement
Page 3

IAP20 Rec'd PCT/770 12 JAN 2006

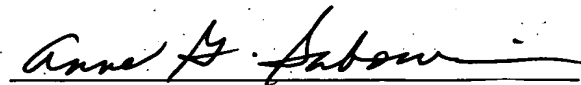
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- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$180.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
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- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$180.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation **Deposit Account No. 23-3425** for any additional fees due hereunder.

Reg. No.: 33,772

Tel. No.: (248) 948-2021

Customer No. 26922
Date: January 12, 2006


SIGNATURE OF ATTORNEY
Anne Gerry Sabourin
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BASF Corporation
26701 Telegraph Road
P.O. Address
Southfield, Michigan 48034-2442

Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	:	of	3
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Complete if Known

Application Number	Unknown 64456
Filing Date	10/3/95
First Named Inventor	Susanne Piontek
Art Unit	
Examiner Name	
Attorney Docket Number	PAT-00386

U. S. PATENT DOCUMENTS

Examiner Signature		Date Considered	
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Application Number	Unknown	1	2	3	4	5	6
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Filing Date	06/01/2011
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First Named Inventor	Susanne Piontek
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Art Unit	
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Examiner Name	
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Attorney Docket Number	PAT-00386
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Sheet	2	of	3
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number

10/564456

Filing Date

First Named Inventor

Susanne Piontek

Art Unit

Examiner Name

Attorney Docket Number

PAT-00386

Sheet

3

of

3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Database WPI, Derwent Publications Ltd., London, GB; Section Ch., Week 199416, Class A14, AN 1994-132105, XP-002301877, 22.03.94	
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